

Investor Charter in respect of JRK Stock Broking Pvt Ltd as a SEBI registered Research Analyst with Registration no- INH300008881

A. Vision and Mission Statements for investors.

• Vision

Invest with knowledge & safety, to become financially secure and live comfortably with enhanced lifestyle and accumulate money for future generation to be able to live comfortably.

• Mission

Every investor should be able to invest in right investment products based on their needs, manage and monitor them to meet their goals, access reports and enjoy financial wellness.

B. Details of business transacted by the Research Analyst with respect to the investors.

• To publish research report based on the research activities of the RA.

JRK Stock Broking Pvt Ltd as a SEBI registered Research Analyst provides reliable and unbiased research and maintains an arms-length relationship between its research activities and other activities of the organization.

• To provide an independent unbiased view on securities.

JRK Stock Broking Pvt Ltd as a SEBI registered Research Analyst provides reliable research that reflects the unbiased view on Economy, Markets in general & companies to its clients.

• To offer unbiased recommendation, disclosing the financial interests in recommended securities.

JRK Stock Broking Pvt Ltd as a SEBI registered Research Analyst provides reliable research that reflects the unbiased view on Economy, Markets in general & companies to its clients. The disclosures in our research reports covers all due diligence and mentions any vested interest in the recommended securities, if any.

JRK STOCK BROKING PVT. LTD.

MEMBERS : NSE, BSE, MSEI, CDSL

REGISTERED OFFICE :

Tobacco House, 3rd Floor, Room No. 301, (North Wing), 1, Old Court House Corner, Kolkata - 700 001

+91 33 2213 9434, 2262 2462 / 2463, 4017 4777, F +91 33 4017 4700

E info@jrkgroup.in W jrkgroup.in

CIN : U67110WB2007PTC117267

JRK STOCK BROKING PVT. LTD.

13.12.2017

Director





- **To provide research recommendation, based on analysis of publicly available information and known observations.**

JRK Stock Broking Pvt Ltd as a SEBI registered Research Analyst follows all required due diligence to prevent the use of its research reports or research analysis to manipulate stock prices in the securities market.

- **To conduct audit annually.**

JRK Stock Broking Pvt Ltd as a SEBI registered Research Analyst will conduct annual audit in respect of compliance with Research Analyst regulations from a member of Institute of Chartered Accountants of India or Institute of Company Secretaries of India.

C. Details of services provided to investors (No Indicative Timelines)

- **Onboarding of Clients**

JRK Stock Broking Pvt Ltd's Compliance Department makes sure that there is no discrepancy in matters related to KYC and on boarding process of clients.

- **Disclosure to Clients**

To distribute research reports and recommendations to the clients without discrimination.

JRK Stock Broking Pvt Ltd as a SEBI registered Research Analyst doesn't distribute its Research Report or Analysis selectively to any internal trading personnel or any particular client or group of clients in advance of other clients who are entitled to receive the research report.

- **To maintain confidentiality w.r.t publication of the research report until made available in the public domain.**

JRK Stock Broking Pvt Ltd as a SEBI registered Research Analyst doesn't endorse or distribute Research Report or Analysis to any third party which can compromise the confidentiality of the report.

D. Details of grievance redressal mechanism and how to access it

In case of any grievance / complaint, our clients are advised to register their grievance with us through Email research@jrkgroup.in , info@jrkgroup.in and we shall ensure that the grievance is resolved at the earliest if not, for sure within 30 days.

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Director





If the investor's complaint is not redressed satisfactorily by us, our clients can also lodge a complaint with SEBI on SEBI's SCORES portal which is a centralized web based complaints redressal system. SEBI takes up the complaints registered via SCORES with the concerned intermediary for timely redressal. SCORES facilitates tracking the status of the complaint.

With regard to physical complaints, investors may send their complaints to: Office of Investor Assistance and Education, Securities and Exchange Board of India, SEBI Bhavan. Plot No. C4-A, 'G' Block, Bandra-Kurla Complex, Bandra (E), Mumbai - 400 051.

E. Expectations from the investors (Responsibilities of investors).

• Do's

- i. **Always deal with SEBI registered Research Analyst.**

JRK Stock Broking Pvt Ltd as a SEBI registered Research Analyst with SEBI registration no- INH300008881

- ii. **Ensure that the Research Analyst has a valid registration certificate.**

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- iii. **Check for SEBI registration number.**

- iv. **Please refer to the list of all SEBI registered Research Analysts which is available on SEBI website in the following link:**

<https://www.sebi.gov.in/sebiweb/other/OtherAction.do?doRecognisedFpi=yes&intmld=14>

- v. **Always pay attention towards disclosures made in the research reports before investing.**

JRK Stock Broking Pvt Ltd as a SEBI registered Research Analyst have listed our Disclosure / Disclaimers made in our Research Reports which can be accessed from the Link- <https://www.jrkgroup.in/home.aspx>

- vi. **Pay your Research Analyst through banking channels only and maintain duly signed receipts mentioning the details of your payments.**

JRK Stock Broking Pvt Ltd as a SEBI registered Research Analyst doesn't charges any fees in any manner from its clients for Research and knowledge sharing services.

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- vii. **Before buying securities or applying in public offer, check for the research recommendation provided by your research Analyst.**
- viii. **Ask all relevant questions and clear your doubts with your Research Analyst before acting on the recommendation.**

JRK Stock Broking Pvt. Ltd as a SEBI registered Research Analyst specifically mentions communication details (Email ID, Contact Number) in their Research reports.

- ix. **Inform SEBI about Research Analyst offering assured or guaranteed returns.**

JRK Stock Broking Pvt Ltd as a SEBI registered Research Analyst does not endorse any guaranteed research output products and dose'nt make any statements in their Research reports that promises guaranteed returns.

• **Don'ts**

- i. **Do not provide funds for investment to the Research Analyst.**

JRK Stock Broking Pvt Ltd as a SEBI registered Research Analyst do not accept any fund from it's clients for investment on client's behalf and does not provide any portfolio management services.

- ii. **Don't fall prey to luring advertisements or market rumours.**

Don't simply invest because your friend has bought it recently or it was recommended on a website or TV program. In a market boom most ideas will do well but that doesn't suggest that all of them will consistently perform and backed by solid research..

- iii. **Do not get attracted to limited period discount or other incentive, gifts, etc.offered by Research Analyst.**

JRK Stock Broking Pvt Ltd as a SEBI registered Research Analyst dosen't charges any fees in any manner from it's clients for Research and knowledge sharing services.

- iv. **Do not share login credentials and password of your trading and demat accounts with the Research Analyst.**

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